

# Modeling impacts of climate change on carbon dynamics in a steppe ecosystem in Inner Mongolia, China

Xiaoming Kang · Yanbin Hao · Changsheng Li ·  
Xiaoyong Cui · Jinzhi Wang · Yichao Rui ·  
Haishan Niu · Yanfen Wang

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## Abstract

**Purpose** In this study, a process-oriented biogeochemistry model, denitrification–decomposition (DNDC), was employed and adapted to interpret and integrate the field observations that the tested ecosystem was a weak sink of atmospheric carbon dioxide (CO<sub>2</sub>) in 2004 but a strong source in 2005 during the growing seasons. Then we applied the model to predict long-term impacts of climate change on carbon (C) dynamics in the semiarid grassland. **Materials and methods** To adapt DNDC for the targeted grassland, we modified the default values of several grass parameters such as maximum biomass production, biomass partitions, plant tissue C/N ratio, and accumulative thermal degree days based on local observations. Daily weather data for 2004 and 2005 in conjunction with soil properties and management practices for the location were utilized as inputs to simulate the grass growth and soil C dynamics. The modeled C fluxes were compared with the eddy tower data. Sensitivity tests were conducted with a baseline and twelve alternative climate scenarios of 100 years for the target grassland.

**Results and discussion** The observed and modeled CO<sub>2</sub> fluxes data were well in agreement ( $P < 0.0001$ ), both showing that the grassland shifted from a sink to a source of atmospheric CO<sub>2</sub> from a wet year (2004) to a dry year (2005) over growing season. Simulations of 100 years found that, under the fenced conditions, (1) the tested ecosystem would gain C with the baseline climate conditions at a rate of 200 kg C/ha/year; (2) the warmer and drier climate scenario made the worst case having the lowest grass production with 72 kg C/ha/year lost from the soil carbon pool; and (3) the cooler and wetter climate scenario made the best case having the highest biomass production with 790 kg C/ha/year sequestered in the soil during the simulated 100 years.

**Conclusions** DNDC model could be used for the prediction of C dynamics in this semiarid grassland ecosystem. Since the ecosystem production is precipitation-limited, a cooler or wetter future climate would substantially elevate the C sequestration capacity of the grassland. However, the C sequestration potential could significantly decrease and even become negative to turn the ecosystem to a source of atmospheric CO<sub>2</sub> if the climate turned to be warmer and/or drier in the coming 100 years.

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X. Kang · J. Wang · Y. Rui · H. Niu  
College of Resources and Environment,  
Graduate University of Chinese Academy of Sciences,  
Beijing 100049, People's Republic of China

Y. Hao · X. Cui · Y. Wang (✉)  
College of Life Sciences,  
Graduate University of Chinese Academy of Sciences,  
Beijing 100049, People's Republic of China  
e-mail: yfwang@gucas.ac.cn

C. Li  
Institute for the Study of Earth, Ocean and Space,  
University of New Hampshire,  
Durham, NH, USA

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## 1 Introduction

As one of the most widespread vegetation types on the global land surface, grasslands play an important role in the global carbon (C) budget, with C pools sensitive to climate change (Fan et al. 1998; Hunt et al. 2004; Sims and Bradford 2001; Sundquist 1993; Tans et al. 1990). A recent

report (IPCC 2007) states that human activities since the industrial revolution have contributed to global and regional climate change by raising global mean temperature and changing precipitation regimes and that grassland C cycles are significantly coupled with climate change and highly sensitive to climate variation. A number of studies have indicated that grasslands could take up more C under moist climate conditions at the ecosystem scale (Meyers 2001; Nagy et al. 2007; Suyker et al. 2003; Xu and Baldocchi 2004; Zhang et al. 2010). However, studies are relatively sparse for Eurasian grasslands which make up a large portion of the world's grasslands. There is ongoing debate about whether the Eurasian temperate grasslands are C neutral (Hao et al. 2008; Wang et al. 2002). Consequently, long-term, continuous measurements of carbon dioxide (CO<sub>2</sub>) flux are crucial to precisely quantify C dynamics in the temperate grassland ecosystems, especially in the context of climate change.

Recently, the eddy covariance (EC) technique has been widely utilized to measure net exchanges of CO<sub>2</sub>, water, and energy between vegetation and the atmosphere, with reliable results over various time scales (Baldocchi et al. 2001; Leuning et al. 2005). The fluxes of net ecosystem CO<sub>2</sub> exchange (NEE) measured with micrometeorological methods provide valuable information for understanding photosynthesis as well as respiration at the ecosystem scale (Falge et al. 2002; Sanderman et al. 2003). However, successful application of the EC method requires steady atmospheric conditions, as well as a large footprint, which could limit the utilization of the approach (Baldocchi et al. 2001). In addition, the measured data usually reflect the net C fluxes without distinguishing the specific physiological processes (e.g., autotrophic vs. heterotrophic respiration) underlying the observed NEE variations (Kurbatova et al. 2008). In this study, we tried to overcome the limitation of the EC method by linking measured NEE fluxes to a biogeochemical model, denitrification–decomposition (DNDC), to interpret and integrate the field observations. This paper reports how we adapted the DNDC model based on our own observations and then applied it to predict long-term impacts of climate change on C dynamics in a semiarid grassland in Inner Mongolia, China.

## 2 Field measurements

### 2.1 Site description

The eddy tower measurements were conducted in 2004–2005 at the Inner Mongolia Grassland Ecosystem Research Station, one of the stations of China Ecosystem Research Network (CERN) managed by the Chinese Academy of Sciences. The station is located in the Xilin River

watershed in the Inner Mongolia Municipality, China (43° 32'N, 116°40'E, 1200 m above sea level; Fig. 1). The study site (400×600 m) is located upon a plat *Leymus chinensis* steppe, which has been fenced off during the past more than 25 years.

The site is within the typical climate zone of semiarid continental temperate steppe, possessing cold and dry winters and warm and moist summers. Snow pack usually covers the soil from the end of November until the end of March. Growing season of the grass lasts about 150–180 days from the end of April to the end of September. During the experimental period, the annual precipitation (rainfall and snowfall) was 394.5 and 163.3 mm for 2004 and 2005, respectively. In 2004, there were 115 days with precipitation including 35 days with the daily precipitation  $\geq 3$  mm. In 2005, there were 97 days with precipitation including 14 days with the daily precipitation  $\geq 3$  mm (Fig. 2a). The multi-year (1978–2005) average precipitation was 345.4 mm/year (see Fig. 2b).

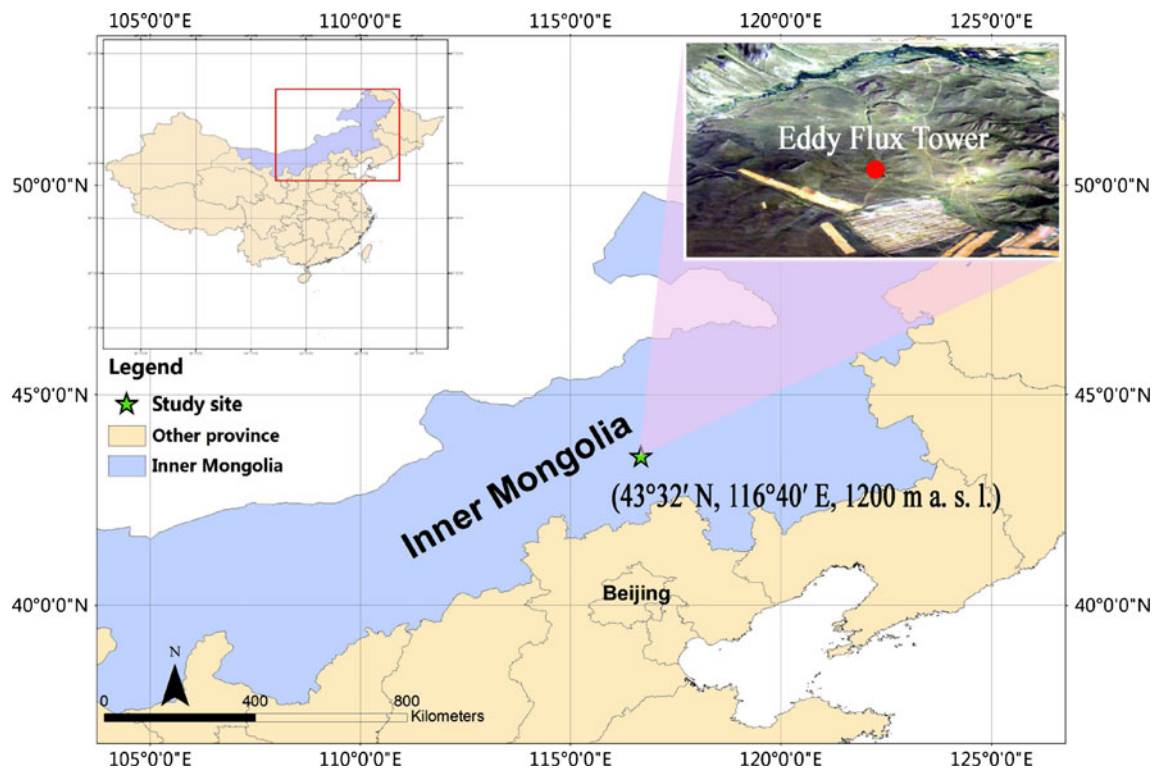
At the experimental site, the soil is dominated by dark chestnut (Mollisol) with a depth of 100–150 cm (Wang and Cai 1988), containing 21% clay, 60% sand, and 19% silt. There is a litter layer on the soil surface due to accumulation of the grass residue under the fenced condition (i.e., without grazing practice). The volumetric porosity is 0.53 m<sup>3</sup> m<sup>-3</sup>, the field capacity 0.29 m<sup>3</sup> m<sup>-3</sup>, and the wilting point 0.12 m<sup>3</sup> m<sup>-3</sup> for the top 20 cm soil (see Fig. 2a).

The grassland in the watershed is dominated by C3 annual species. At the experimental site, there were 86 species of flowering plants belonging to 28 families and 67 genera (Jiang 1985). Xeric rhizomatous grass *L. chinensis* is the constructive species with dominant species such as *Agropyron cristatum*, *Cleistogenes squarrosa*, and *Carex duriuscula*. The community coverage index is usually about 25% but could reach to 50% in the wet years (Xiao et al. 1995). The heights of grass clusters are 50–60 cm. The maximum value of leaf area index (LAI) in 2004 (1.54 in August) was approximately two times higher than that in 2005 (0.84 in July; Hao et al. 2008).

The Xilin River watershed is dominated by the grasslands with typical grazing practices. However, the site selected for the study had been treated specially by being fenced without any grazing activity since 1979. This site would provide us an opportunity to observe impact of climate change on the grassland C dynamics without grazing disturbance.

### 2.2 EC measurements

EC instrument was deployed at the experimental site (see Fig. 1) in April 2003. NEE was measured continuously with the EC system. The fetch from all directions was set to



**Fig. 1** Location of study sites in an *L. chinensis* steppe in Inner Mongolia, China

be more than 200 m based on the calculation with a footprint model (Kljun et al. 2004). Briefly, a three-axis sonic anemometer (model CSAT3, Campbell Scientific, Mississippi, USA) with an open path infrared CO<sub>2</sub>/H<sub>2</sub>O gas analyzer (IRGA, LI 7500, LI-COR, Lincoln, NE, USA) was installed at a height of 2.2 m above ground level to measure the fluctuations in three wind components ( $w$ ,  $u$ ,  $v$ ), sensible heat ( $H$ ), latent heat (LE), and CO<sub>2</sub> fluxes. The instrument provided high-frequency measurements (10 Hz), and the turbulent fluxes data were recorded as half-hour averages by a datalogger (CR5000, Campbell Scientific).

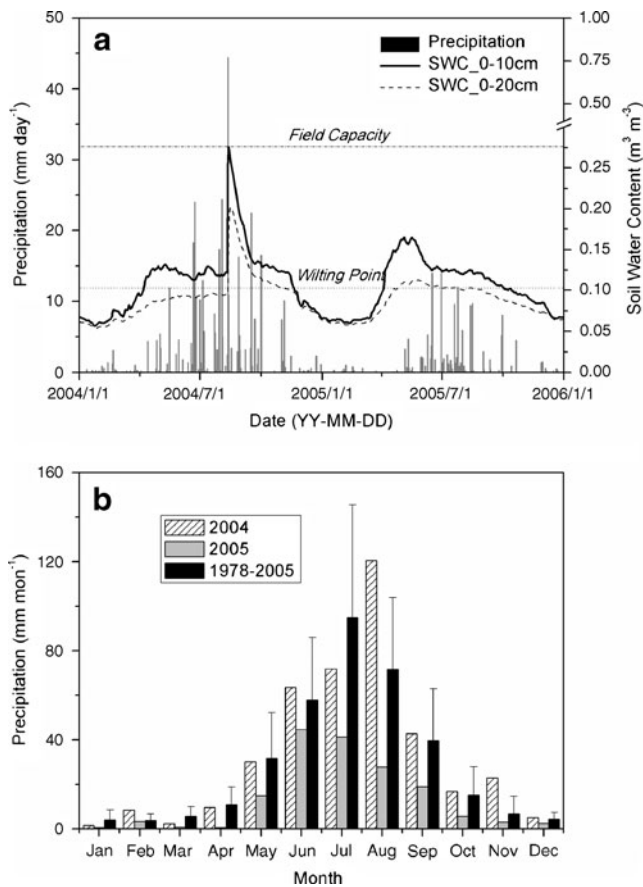
The EC tower was also equipped with instruments to measure the environmental factors used for gap filling calculations: net radiation (CNR-1, Kipp & Zonen, Bohemia, NY, USA), photosynthetically active radiation (PAR; LI-190SB; LI-COR), air temperature and humidity (HMP45C, VAISALA, Woburn, MA, USA). Cup anemometers (034A-L and 014A; Traverse, MI, USA) at 1.5 and 2.5 m above the ground were used to measure wind speed. Precipitation was measured by a tipping bucket rain-gauge (TE525MM, Campbell Scientific) at the height of 1.5 m above the ground level. Soil temperature was measured by copper–constantan thermocouples installed at five depths (0.05, 0.10, 0.20, 0.50, and 1.0 m) below the ground. Soil volumetric water content was measured by time-domain reflectometer (TDR) probes at 0.05, 0.2, and 0.5 m below the ground. All meteorological data from the sensors were collected and stored in a digital

datalogger (CR23X; Campbell Scientific). The data measured during 2004–2005 were utilized in the study to support the model simulations.

### 2.3 Field data processing

Data quality control was implemented to reduce the measurement-induced uncertainties. The collected flux data were adjusted by the Webb, Pearman, and Leuning (WPL) algorithm (Webb et al. 1980). Flux data measured during the rainfall or snowfall events or the instrument malfunctions (e.g., system maintenance, power outages, etc.) were excluded. Since low friction velocity ( $u^*$ ) and weak turbulent mixing tend could result in underestimation of the CO<sub>2</sub> exchange rates (Goulden et al. 1996; Jaksic et al. 2006), only flux data with  $u^*$  greater than 0.2 m s<sup>-1</sup> were used. Through screening with the data quality control process, about 20% of the data recorded by the EC system were rejected which led to data gaps. Gap-filling approaches, such as the mean diurnal variation (MDV; Falge et al. 2001) and the interpolation methods developed by (Aubinet et al. 2002; Baldocchi 2003; Baldocchi et al. 2004), were adopted for filling the data gaps in the study. The details of the flux data processing have been described by Hao et al. (2007).

The CO<sub>2</sub> fluxes measured with the EC technique represent NEE, which is the balance between gross primary



**Fig. 2** Seasonal and inter-annual variation in: **a** daily total precipitation and average volumetric soil water content (SWC) at 0–10 cm and 0–20 cm soil depths in 2004 and 2005; **b** monthly total precipitation in 2004 and 2005 and means for 1978–2005

productivity (GPP), and ecosystem respiration ( $R_e$ ; Law et al. 2002). So the value of GPP can be calculated as the difference between  $R_e$  and NEE:

$$GPP = R_e - NEE \tag{1}$$

where negative values of NEE indicate net C sequestration by the ecosystem.

Daily  $R_e$  is a sum of the daytime ecosystem respiration ( $R_{e, \text{day}}$ ) and the nighttime ecosystem respiration ( $R_{e, \text{night}}$ ):

$$R_e = R_{e, \text{day}} + R_{e, \text{night}}, \tag{2}$$

$R_{e, \text{night}}$  is derived from the nighttime net exchange measured with the EC system. Since the  $R_{e, \text{night}}$  is related to the soil temperature (Kirschbaum 1995), a temperature-dependent model was derived from the measured nighttime average half-hour net CO<sub>2</sub> exchange fluxes ( $R_{e, \text{night}}$ ) with soil temperatures as the following:

$$R_{e, \text{night}} = ae^{(bT_s)}, \tag{3}$$

where  $T_s$  represents the soil temperature (°C) at the depth of 0.05 m, and  $a$  ( $\mu\text{mol of CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) and  $b$  (°C) are coefficients.

Thus, by extrapolating the exponential regression correlation to the daytime periods, we estimated the  $R_{e, \text{day}}$  rates as well as the GPP.

### 3 Application of the DNDC model

The DNDC model adopted in the study was originally developed for quantifying C sequestration and trace gas emissions from agricultural soils (Li et al. 1992a, b, 1994). The core of DNDC is a soil biogeochemistry model, which can be integrated with different vegetation modules to simulate other ecosystems. In fact, during the past decade, DNDC was further developed to include forest and grassland ecosystems (Hsieh et al. 2005; Kesik et al. 2006; Li et al. 2000; Stange et al. 2000; Xu-Ri et al. 2003). This paper reports a new attempt to test the applicability of DNDC to assess C sequestration for semiarid grassland in China.

The DNDC model consists of two components. The first component, consisting of the soil climate, plant growth and soil organic matter decomposition submodels, predicts soil environmental factors (e.g., soil temperature, moisture, pH, redox potential, and substrate concentration profiles) driven by ecological drivers (e.g., climate, soil, vegetation, and anthropogenic activity). The second component, consisting of the nitrification, denitrification, and fermentation submodels, predicts the emissions of CO<sub>2</sub>, methane (CH<sub>4</sub>), ammonia (NH<sub>3</sub>), nitric oxide (NO), nitrous oxide (N<sub>2</sub>O), and dinitrogen (N<sub>2</sub>) driven by the soil microbial activities (Li 2007). DNDC simulates C and nitrogen (N) transport and transformation in the plant–soil system in the order “soil climate–plant growth–soil organic matter decomposition–nitrification–denitrification–fermentation” at a daily time step (Li et al. 2000).

In DNDC, the dynamics of soil organic carbon (SOC) is controlled by two major processes, i.e., litter incorporation and SOC decomposition. Rates of both the two processes are determined by the local climate, soil, and management conditions. Since litter production is directly related to the total biomass production of the plants, it is inherently crucial for quantifying litter production to correctly simulate the plant growth. In DNDC, a crop or grass is defined by six parameters, namely, maximum biomass production, biomass partitions to grain, leaf, stem, and root, biomass tissue C/N ratios, accumulative temperature for maturity, water requirement, and N fixation capacity. Equipped with the plant parameters, DNDC simulates the plant growth driven by the air temperature, soil water, and N availabilities at daily time step. If any stress of temperature, water, or N occurs on a day, the biomass production will be accordingly reduced. During the simulated growing seasons, the plant continuously assimilates the atmospheric CO<sub>2</sub> into the biomass C and partitions it to the grain, leaves, stems, and roots every day.

When the plant growth reaches its maturity or the temperature drops below 0°C, the senescence will start. All the root litter will be incorporated in the soil profile and the above-ground residue allocated in the top soil during the senescence. As soon as the fresh litter is incorporated in the soil, the litter will be immediately partitioned into three soil litter pools (i.e., very labile, labile, and resistant litter pools). The soil microbial decomposers use the litter as a source of energy or structural material and, hence, convert the litter to CO<sub>2</sub> and the microbial biomass. After death of the microbes, they will become active humus, which can continue decomposing to become passive humus. During the sequential decomposing processes, part of the organic C becomes CO<sub>2</sub> to be emitted into the atmosphere. If the litter input rate is higher than the bulk decomposition rate, the soil will gain C; otherwise, the soil will become a source of the atmospheric CO<sub>2</sub>.

Since this was the first time for DNDC to be applied for C studies for the semiarid grassland in China, we first tested DNDC against part of observed data for calibration. The calibration focused on two groups of input parameters for grass growth and soil hydrology. As most C models, DNDC simulates ecosystem C dynamics by tracking plant growth, litters incorporation, and soil heterotrophic respiration. Since the algorithms of SOC decomposition embedded in DNDC has been calibrated against a number of observations worldwide by many researchers, we did not further calibrate this part anymore. Our calibration effort was focused on the plant growth, as vegetation productivity and phenology could vary greatly from place to place. The physiological and phenology parameters for the grassland simulated in the study were carefully calibrated based on the long term observed datasets of the local grass growth and productivity. As a national experimental station, the Xilinhot site has accumulated records of the local vegetation and management practices. Based on the long-term observations, the grass parameters, such as maximum yield, biomass partitions in the above- and below-ground parts, C/N for the above- and below-ground parts, cumulative thermal degree days, N fixation index, etc., have been thoroughly checked and modified to ensure they could correctly represent the local grass community. In addition, the NEE flux data measured at the experimental site in 2004, a normal year in climate, were also utilized to test the grass simulation and further adjusted some of the grass parameters. The calibrated grass parameters are listed in Table 1 in the manuscript. DNDC simulates soil moisture based on soil hydraulic parameters including porosity, field capacity, wilting point, saturation conductivity, etc. Based on the soil temperature and moisture data observed in 2004, we modified the soil hydraulic parameters to ensure DNDC to correctly simulate the soil moisture profile for the target grassland. After the calibration, the field capacity and

wilting point were set to be 0.66 and 0.32, respectively (see details in Table 2).

During the calibration, the statistical tools, the root of mean square error (RMSE), and relative mean deviation (RMD), were utilized to optimize the parameter values based on the comparisons of the modeled results (e.g., biomass partitioning, litter production, NEE fluxes and soil moisture) with the corresponding observations. The calibrations for the Xilinhot grassland ensured the DNDC model to correctly simulate the grass growth and soil climate and hence could estimate the ecosystem C dynamics. In fact, equipped with the calibrated grass and soil parameters linked to the original biogeochemical processes existing in DNDC, the model well captured the depressed grass growth and elevated NEE fluxes in 2005, a dry year.

#### 4 DNDC simulations against observations in 2004 and 2005

In 2004 and 2005, daily C fluxes as well as soil temperature and moisture were measured at the EC tower site. DNDC was run for the same two years to produce the corresponding items. The observed and modeled results were compared to check DNDC's applicability for the Inner Mongolia grassland. Part of the grass and soil moisture data observed in 2004 were utilized for calibrating the grass physiological and phenology parameters as well as the soil hydraulic parameters; however, the modeled results provided a much more comprehensive picture about the carbon and water dynamics for the tested ecosystem, which resulted from the effects of the new parameters in conjunction with the biogeochemical processes (e.g., decomposition, transpiration, evaporation, leaching, etc.) originally existing in DNDC. So the modeled results for both 2004 and 2005 were compared with observations to check the model's behaviors.

##### 4.1 Statistical tools

To quantify the agreement between the simulated and observed results, we adopted three statistical criteria for the validation tests: the coefficient of determination ( $R^2$ , Eq. 4), the RMSE (Eq. 5) and the RMD (Eq. 6). Each criterion investigates a specific aspect of the correlation. The  $R^2$  represents a common regression coefficient indicating the ability of the model to explain the variations in the observed values (Janssen and Heuberger 1995). RMSE provides the model's prediction error by heavily weighting high errors. In contrast, RMD weighs all errors the same but tends to smooth out the discrepancies between simulated and observed values. The values of RMD close

**Table 1** Physiological and phenology parameters adopted for the experimental grassland in Xilin River watershed in Inner Mongolia, China

Parameter	Value	Unit
Maximum biomass production	4500	kg C/ha/year
Grain fraction of biomass	0.02	–
Leaf and stem fraction of biomass	0.40	–
Root fraction of biomass	0.58	–
C/N ratio of grain	25	–
C/N ratio of leaf and stem	55	–
C/N ratio of root	75	–
Water requirement	250	kg water/kg dry matter
N fixation index	1.50	
Maximum root depth	0.5	M

to 0 indicate the absence of bias in the modeled results (Brisson et al. 2002; Huang et al. 2009). All statistical calculations were performed with a standard statistical analysis software SPSS (Version 13.0, SPSS, Chicago, IL, USA).

$$R^2 = \left( \frac{\sum (O_i - \bar{O})(P_i - \bar{P})}{\sqrt{\sum (O_i - \bar{O})^2 \sum (P_i - \bar{P})^2}} \right)^2, \tag{4}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (P_i - O_i)^2}{n}}, \tag{5}$$

$$RMD = \frac{100}{\bar{O}} \sum_{i=1}^n \frac{P_i - O_i}{n}, \tag{6}$$

where  $O_i$  and  $P_i$  represent the observed and model-predicted values, respectively,  $\bar{O}$  and  $\bar{P}$  are the mean of the observed and predicted values, respectively, and  $n$  is the number of observations.

#### 4.2 Comparison on soil climate

Soil temperature and moisture are two primary environmental factors affecting SOC decomposition and also plant

growth by altering the soil water and nutrient regimes. Fig. 3a shows comparison between the observed and simulated soil temperatures for the top 0.05 m soil layer. Patterns and magnitudes of the modeled soil temperature were well in agreement with observations. DNDC accurately simulated the trajectories of the observed variations in soil temperature including the temperature effects of the snow pack. The linear regression analysis with the modeled and observed soil temperatures showed a high correlation with  $R^2=0.96$ ,  $P<0.0001$ ,  $RMSE=2.97\%$ ,  $RMD=-29.11\%$  (Table 3). Fig. 3c shows a general clustering of the data with an approximate 1:1 line, although the modeled results slightly underestimated the soil temperature. In addition, the modeled thawing occurred a little later than the observations for 2005 (see Fig. 3a).

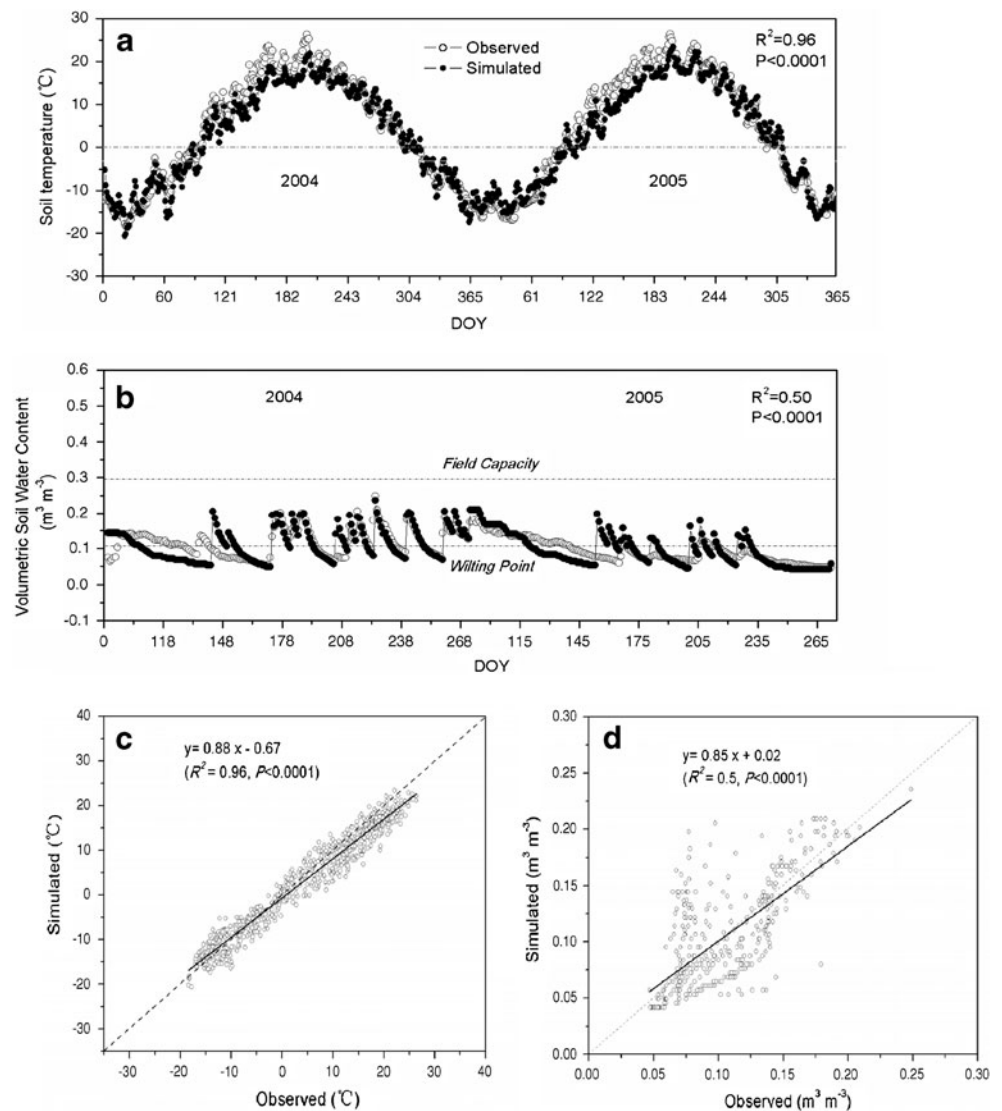
Fig. 3b illustrates the comparison of patterns and magnitudes of simulated vs. observed volumetric soil water contents at 0–5 cm depth for the growing season (early April through late September). The simulated soil water contents were in agreement with the field observations. Regression of simulated against observed daily soil moisture data (see Fig. 3d) yielded an  $R^2$  of 0.5, with a slope of 0.85, and an intercept of  $0.02 \text{ m}^3 \text{ m}^{-3}$  ( $n=366$ ,  $P<0.0001$ ). Values of RMSE and RMD were 0.03% and -0.77%, respectively (see Table 3). In particular, the simulated patterns of soil moisture transition driven by the rainfall events were well consistent

**Table 2** Soil property parameters adopted for the experimental grassland in Xilin River watershed in Inner Mongolia, China

Parameters	<i>L. chinensis</i> steppe field	Reference
Land-use type	Fenced grassland	(Chen and Wang 2000)
Texture	Dark chestnut (Sandy loam)	(Chen and Wang 2000)
Clay fraction (0–1)	0.21	(Chen and Wang 2000)
Bulk density ( $\text{g m}^{-3}$ )	1.32	(Chen and Wang 2000)
pH	7.56	(Yuan et al. 2008)
Field capacity (wfps, 0–1)	0.66	Observation
Wilting point (wfps, 0–1)	0.32	Observation
Porosity (0–1)	0.53	(Chen and Wang 2000)
SOC at surface soil (0–5 cm) ( $\text{kg C kg}^{-1}$ )	0.015	Observation

wfps Water filled pore space

**Fig. 3** **a, b** Simulated and observed soil surface temperature and volumetric soil water content at 0–5 cm depth, **c, d** Simulated vs. observed soil surface temperature and volumetric soil water content at 0–5 cm depth for an *L. chinensis* steppe in Inner Mongolia, China in 2004 and 2005. Dashed line is 1:1. DOY means day of year



**Table 3** Comparisons of simulated and observed daily soil temperature, soil water content, GPP,  $R_e$ , NEE, and monthly GPP,  $R_e$ , NEE in 2004 and 2005

Crop	Observed vs. simulated	Intercept	Slope	$R^2$	RMSE (%)	RMD (%)	Number ( $n$ )
<i>L. chinensis</i> steppe	Soil temperature	-0.67	0.88	0.96*	2.97	-29.11	730
	Soil water content	0.02	0.85	0.50*	0.03	-0.77	366
	Daily GPP	0.58	0.65	0.62*	1.14	3.96	306
	Daily $R_e$	-0.02	1.00	0.73*	0.56	-0.87	730
	Daily NEE	0.12	0.60	0.36*	0.46	-10.08	730
	Monthly GPP	-0.98	0.89	0.84*	19.19	-5.28	10
	Monthly $R_e$	-3.09	1.08	0.81*	13.08	-2.20	24
	Monthly NEE	1.44	0.79	0.65*	7.81	-7.37	24

GPP Gross primary productivity, NEE net ecosystem CO<sub>2</sub> exchange,  $R_e$  ecosystem respiration,  $R^2$  coefficient of determination, RMD relative mean deviation, RMSE root of mean square error

\*Significant at probability level of 0.0001

with observations over the two years (see Fig. 3b). However, the comparison indicated that the modeled soil moistures for 2004 matched observations better than that for 2005. For 2005, an extremely dry year, the model slightly overestimated the elevations of the soil moisture following the rainfall events probably due to underestimation of the actual evapotranspiration (Stange et al. 2000). The discrepancy from our simulation is consistent with the reports from another researcher who indicated that the simulated soil water content was overestimated during the dry period (spring and late autumn) in the typical steppe and the evapotranspiration routines in DNDC would need to be improved for its application for the semiarid grasslands (Xu-Ri et al. 2003).

#### 4.3 Comparison on GPP and $R_e$

Both the field measurements and the model simulations provided three C fluxes, namely, GPP,  $R_e$  (the sum of plant autotrophic respiration and soil microbial heterotrophic respiration), and NEE. Comparisons between the simulated and observed daily GPP fluxes (Fig. 4a, Table 3) indicated that DNDC fairly captured the seasonal variations of observed GPP for the simulated two years. The regression of the simulated vs. observed daily GPP data yielded an  $R^2$  of 0.62 with a slope of 0.65 and an intercept of  $0.58 \text{ gC m}^{-2} \text{ day}^{-1}$  ( $P < 0.0001$ ; see Table 3). The RMSE and RMD values were 1.14% and 3.96%, respectively. The model commendably captured the U pattern of the plant growth driven by the precipitation variation during the period of July and August in 2004 (see Fig. 4a). Both the modeled and observed data showed that the plant growth was substantially depressed in 2005 due to the long-term droughts. The results proved that DNDC could predict impact of climate change on grass production by precisely capturing the relationships among precipitation, soil moisture, and grass growth. However, DNDC slightly overestimated GPP for the peak-growth season and underestimated GPP for the late growing season of 2005 (see Fig. 4a). To further examine the model performance, we compared the modeled and observed GPP fluxes at a monthly or annual basis (see Table 3). On the monthly basis, there is a significant correlation with an  $R^2$  of 0.84 ( $P < 0.0001$ ; see Fig. 4c). At the annual scale, the modeled GPP values were  $369.7$  and  $97.7 \text{ gC m}^{-2} \text{ year}^{-1}$  for 2004 and 2005, separately, while the observed GPP values were  $371.2$  and  $97.2 \text{ gC m}^{-2} \text{ year}^{-1}$  for 2004 and 2005, respectively (Table 4). The modeled and measured annual GPP fluxes are very comparable for both the wet and dry years.

DNDC calculates  $R_e$  by simulating plant autotrophic respiration ( $R_a$ ) and soil microbial heterotrophic respiration ( $R_h$ ) at daily time step, although the EC method provided only  $R_e$  fluxes. Fig. 4a shows the comparison between the

simulated and observed  $R_e$  data for the tested grassland ecosystem. The modeled daily  $R_e$  fluxes are in agreement with observations regarding the patterns and magnitudes (see Fig. 4a, Table 3). The linear regression of simulated against observed daily  $R_e$  showed a fairly good correlation ( $R^2 = 0.73$ ) with a slope of 1.00 and an intercept of  $-0.02 \text{ gC m}^{-2} \text{ day}^{-1}$  ( $P < 0.0001$ ; see Table 3). The values of RMSE and RMD are 0.56% and  $-0.87\%$ , respectively. However, the model slightly overestimated  $R_e$  during the peak-growth season in 2005, an extremely dry year. The simulated and observed peak  $R_e$  values were  $5.0$  and  $5.1 \text{ gC m}^{-2} \text{ day}^{-1}$ , respectively, for 2004, while were  $3.5$  and  $2.5 \text{ gC m}^{-2} \text{ day}^{-1}$ , respectively, for 2005 (see Fig. 4a). The discrepancy for 2005 could result from the model's overestimation of the soil water content during the peak-growth season, when the actual soil water content was far below the wilting point ( $0.12 \text{ m}^3 \text{ m}^{-3}$ ; see Fig. 3b).

The monthly  $R_e$  fluxes from simulations and observations also showed high correlation ( $R^2$  of 0.81,  $P < 0.0001$ ). The modeled annual  $R_e$  fluxes were  $426$  and  $284 \text{ gC m}^{-2} \text{ year}^{-1}$  in 2004 and 2005, respectively, which are comparable to the observed  $R_e$  fluxes  $432$  and  $294 \text{ gC m}^{-2} \text{ year}^{-1}$  in 2004 and 2005, respectively (see Tables 3 and 4). The modeled results shown in Fig. 4b indicated that  $R_a$  and  $R_h$  accounted for 46% and 54% of  $R_e$ , respectively, in 2004 and 24% and 76% of  $R_e$ , respectively, in 2005. The droughts in 2005 apparently depressed both the photosynthesis and respiration of plants at the experimental site.

#### 4.4 Comparison on NEE

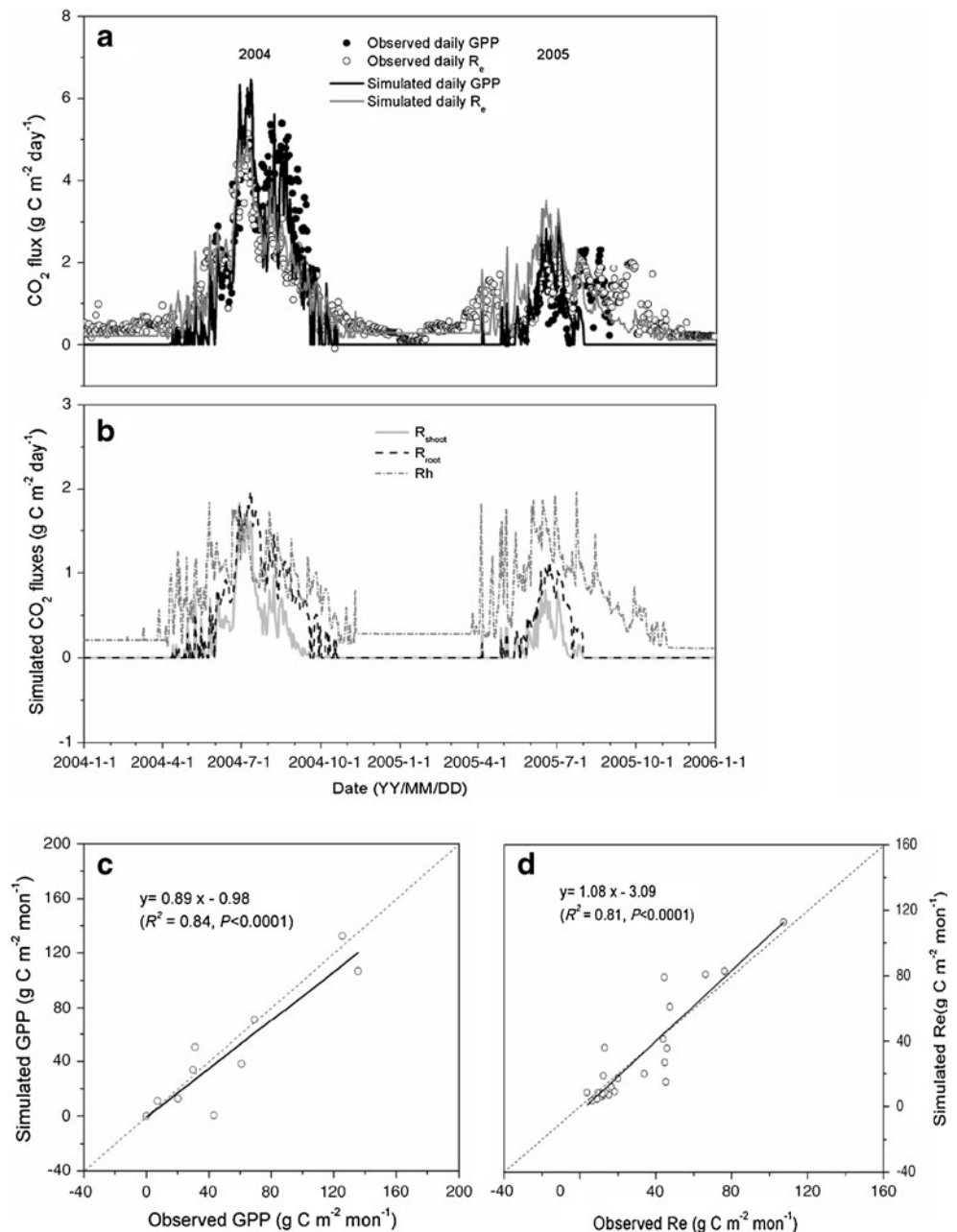
The seasonal patterns and magnitudes of simulated daily NEE fluxes were basically in agreement with observations for the period of 2004–2005 (Fig. 5, Table 3). The positive or negative values of NEE in Fig. 5 represent net losses or gains of C, respectively, for the ecosystem. For 2004, the modeled NEE data showed two C loss peaks during the non-growing season (April and October) and two C gain peaks during the growing season (July and August) that was consistent with observations. Based on the statistical analysis on the NEE residuals values, the residuals were not randomly distributed (see Fig. 5b). In absolute magnitude, low NEE residual values were generally associated with low prediction errors of model, whereas high NEE residual values were associated with high prediction errors of model. Moreover, Table 3 depicts the correlation of modeled and observed daily NEE fluxes, which has an  $R^2$  of 0.36 with a slope of 0.60 ( $P < 0.0001$ ). The values of RMSE and RMD were 0.46% and  $-10.8\%$ , respectively. For 2005, DNDC slightly overestimated NEE for the period from 4 June to 12 June (see Fig. 5a) when the model overestimated soil water content (see Fig. 3b) as well as the  $R_e$  (see Fig. 4b).



Monthly and annual NEE fluxes were summed based on the daily NEE data over the two years (2004–2005). The patterns and magnitudes of the modeled monthly and annual NEE fluxes were well in agreement with observations (see Fig. 5c). On a monthly scale, the regression of simulated against measured NEE values yielded an  $R^2$  of 0.65 with a slope of 0.79 and an intercept of  $1.44 \text{ gC m}^{-2} \text{ mon}^{-1}$  ( $n=24$ ,  $P<0.0001$ ; see Table 3), suggesting that the simulated monthly NEE described the measured data better than at the daily time resolution. For the 2004 growing season, the ecosystem was a sink of atmospheric  $\text{CO}_2$  with  $7.6$  or  $10.3 \text{ gC m}^{-2} \text{ year}^{-1}$  sequestered based on the modeled or observed data, respectively. In contrast, for the 2005

growing season, the ecosystem was a source of atmospheric  $\text{CO}_2$  with  $122.5$  or  $80.2 \text{ gC m}^{-2} \text{ year}^{-1}$  emitted based on the modeled or measured results, respectively (see Table 4). At the annual scale, the simulated annual NEE fluxes were  $55.8$  and  $186.3 \text{ gC m}^{-2} \text{ year}^{-1}$  for 2004 and 2005, respectively, while the observed annual NEE were  $82.2$  and  $179.3 \text{ gC m}^{-2} \text{ year}^{-1}$  for 2004 and 2005, respectively. The results implied that the steppe grassland was a source of atmospheric  $\text{CO}_2$  both in 2004 and 2005, although the droughts in 2005 substantially enhanced the C losses from the ecosystem. Nevertheless, the model tended to underestimate NEE in the wet year and slightly overestimate NEE in the dry year. The modeled annual accumulative NEE was

**Fig. 4** **a** Comparisons between simulated and observed gross primary productivity ( $GPP$ ) and ecosystem respiration ( $R_e$ ), **b** Dynamics of simulated shoot respiration ( $R_{shoot}$ ), root respiration ( $R_{root}$ ), and soil heterotrophic respiration ( $R_h$ ), **c, d** Simulated vs. observed monthly  $GPP$  and  $R_e$  for an *L. chinensis* steppe in Inner Mongolia, China during 2004–2005. Dashed line is 1:1



**Table 4** Simulated and observed annual total ecosystem C budget components accumulated from daily simulations and observations for a *L. chinensis* steppe in Inner Mongolia, China over 2004–2005

Item	2004		2005	
	Observed	Simulated	Observed	Simulated
GPP <sup>a</sup>	371.2	369.7	97.2	97.7
R <sub>e</sub>	431.8	425.5	293.7	284.0
NEE	82.2	55.8	179.3	186.3
NEE <sup>a</sup>	-10.3	-7.6	80.2	122.5
R <sub>a</sub>	–	197.3	–	68.1
R <sub>shoot</sub>	–	70.4	–	22.7
R <sub>root</sub>	–	126.9	–	45.3
R <sub>h</sub>	–	228.2	–	216.0

GPP Gross primary productivity, R<sub>e</sub> ecosystem respiration, NEE net ecosystem CO<sub>2</sub> exchange (positive values represent net loss of carbon, negative values represent net gain of carbon), R<sub>a</sub> plant autotrophic respiration, R<sub>shoot</sub> shoot respiration, R<sub>root</sub> root respiration, R<sub>h</sub> soil heterotrophic respiration, – data not available.

<sup>a</sup> During growing season (May to September) only

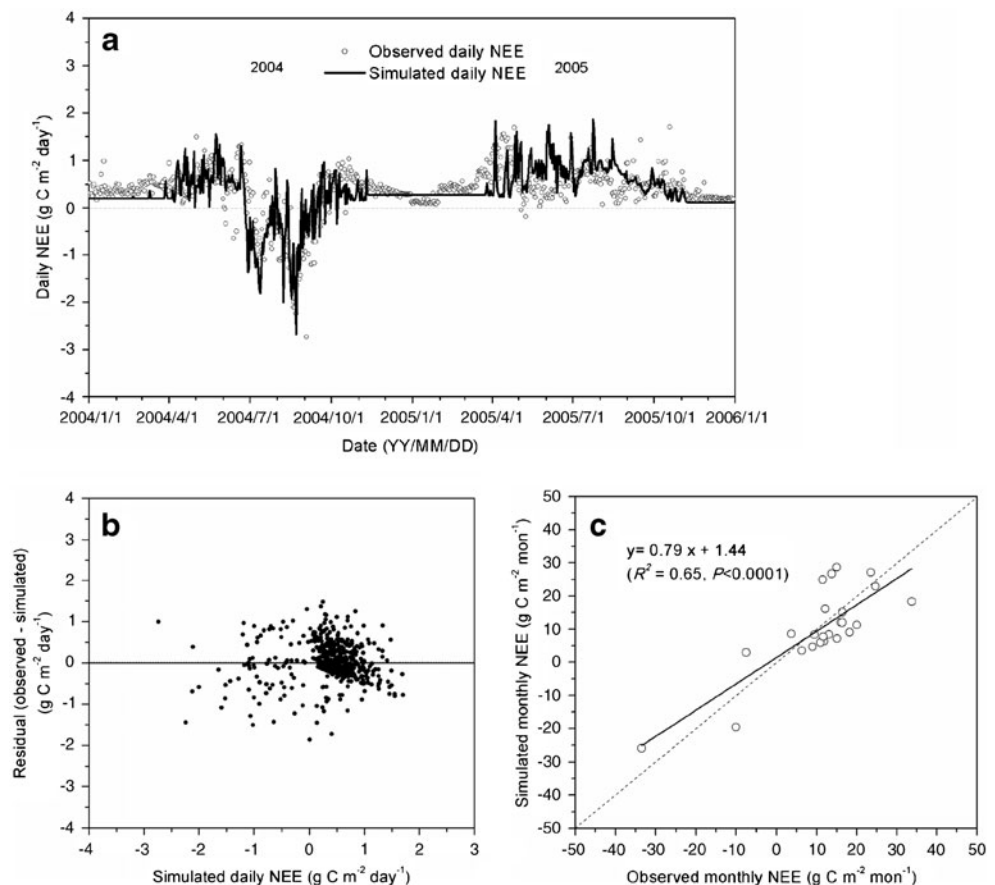
68% of the observed ones in 2004, while it was 104% in 2005 (see Table 4).

Both the modeled and measured C flux data showed a strong seasonality for this semiarid grassland. Precipitation

(including snowfall and rainfall) showed to be a dominant factor affecting the NEE fluxes. Fig. 5a shows high NEE fluxes in April in either 2004 or 2005. According to DNDC model, snowmelt events led to soil moisture elevation and, consequently, stimulated the soil microbial respiration (see Fig. 5a). This may partially explain the sharp increase of CO<sub>2</sub> flux in early spring. After late June in 2004, the grassland ecosystem shifted from a C source to a C sink driven by the increases in GPP following the heavy rainfall events in July and August (see Figs. 2b, 5a). In the 2005 growing season, however, the NEE fluxes were mostly positive values mainly due to the long-term droughts and the low soil moisture status (see Figs. 3b, 5a).

The modeled results showed that soil moisture played a vital role in determining the ecosystem to be a C sink or source as both GPP and R<sub>e</sub> can be inhibited by water stress (see Fig. 3b). However, the drought impact looked higher on the grass production than on the soil microbial respiration. The GPP produced in 2004 was about 3.8 times of that in 2005, while the modeled R<sub>h</sub> rates differed less between the two years (see Table 4). In general, by comparing the modeled GPP, R<sub>e</sub>, and NEE fluxes with observations at daily, monthly, and annual time scales, we gained confidence about the applicability of DNDC for the Chinese grassland in Inner Mongolia.

**Fig. 5 a** Comparisons between simulated and observed daily net ecosystem CO<sub>2</sub> exchange (NEE), **b** Scatter plot of simulated daily NEE vs. residuals (observed–simulated), **c** Simulated vs. observed monthly NEE for an *L. chinensis* steppe in Inner Mongolia, China during 2004–2005. Dashed line is 1:1, where the positive and negative values of NEE represent net loss and gain of carbon (CO<sub>2</sub> fluxes) by the soil–plant–atmosphere system, respectively



## 5 Estimating impacts of climate change on C dynamics for the grassland

Given the encouraging results from the model validation tests, we decided to utilize the DNDC model for estimating long-term impacts of climate change on C dynamics for the tested grassland in Inner Mongolia, China. A 25-year (1981–2005) daily weather dataset for the very location was obtained from the climate database of our local meteorological station and Inner Mongolia Grassland Ecosystem Research Station, Chinese Academy of Sciences. The 25-year climate data were repeated for four times to create a 100-year climate scenario. The 100-year climate dataset served as a baseline climate scenario for the long-term simulations. Twelve alternative climate scenarios were then composed by varying temperature alone, precipitation alone, or both temperature and precipitation. The alternative climate scenarios were set by decreasing temperature by 4 and 2°C (T1 and T2), increasing temperature by 2 and 4°C (T3 and T4), decreasing precipitation by 40% and 20% (P1 and P2), increasing precipitation by 20% and 40% (P3 and P4), decreasing temperature by 4°C while decreasing precipitation by 40% (T1P1), decreasing temperature by 4°C while increasing precipitation by 40% (T1P4), increasing temperature by 4°C while decreasing precipitation by 40% (T4P1), and increasing temperature by 4°C while increasing precipitation by 40% (T4P4; Table 5). To distinguish the impacts of climate change, we assumed that all other input parameters, such as soil thermo-hydraulic properties and management practices, were kept identical as in the validation tests across the climate scenarios. The grassland was fenced without any grazing activity so that all the plant biomass would be eventually incorporated in the soil. To assess the impacts of the climate alternatives on the grassland C dynamics, the simulated annual plant production, soil heterotrophic respiration, and net change in SOC storage were recorded as three indicators to represent the input C flux, output C flux, and net C flux for the modeled soil.

By running DNDC with the 100-year baseline climate scenario, we obtained annual grass yield, soil heterotrophic CO<sub>2</sub> flux, and net change in SOC content for each year. Fig. 6 shows the modeled results. The annual grass production varied between 200 and 3,500 kg C/ha/year driven mainly by the interannual variations in precipitation, while the soil CO<sub>2</sub> fluxes varied moderately. The annual litter incorporation dominated the SOC dynamics for the grassland. During the wet years, the grass yields were high, and the soil gained more C by having more litter incorporated. In contrast, in the dry years with poor biomass production, the SOC decomposition rates were higher than the litter incorporation rates that led to a net loss in the SOC storage. In general, the modeled results indicated that the fenced grassland sequestered C at an average rate of 210 kg C/ha/year under the baseline climate conditions during the simulated 100 years. The results imply that the grassland would be a sink of atmospheric CO<sub>2</sub> under the current climate conditions if the land will be continuously fenced without any grazing activities.

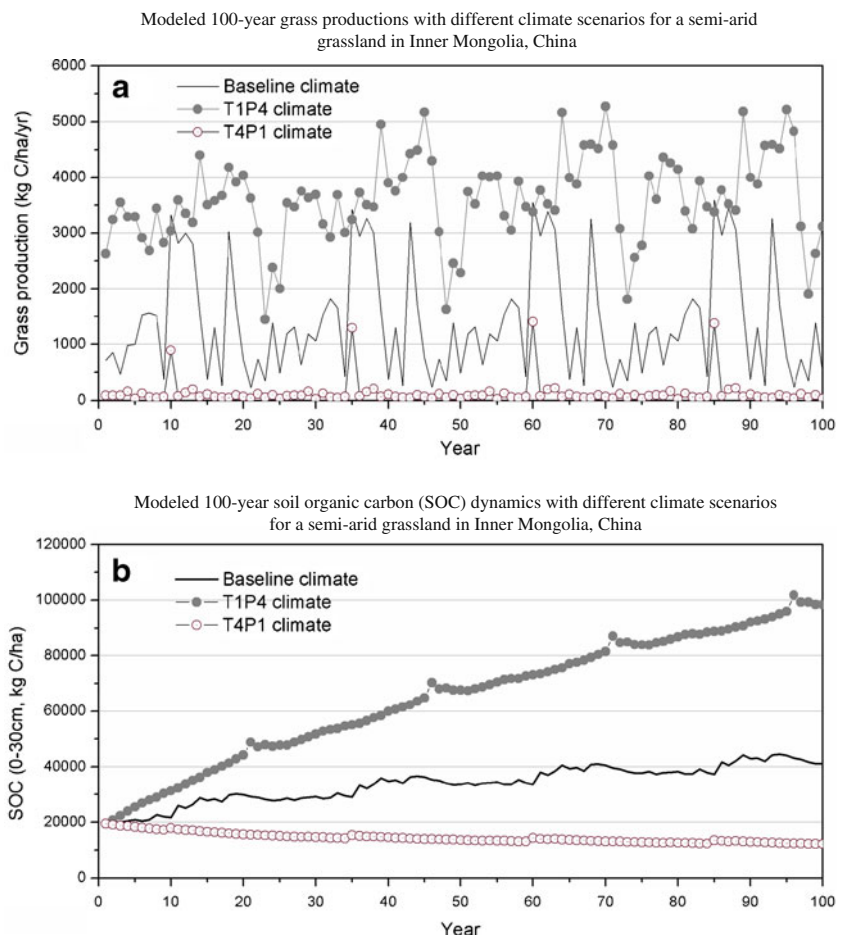
Simulations with the 12 alternative climate scenarios were conducted to assess their impacts on the grass production as well as the SOC storage. DNDC estimates the impact of climate on plant growth by tracking the temperature stress and water stress. When temperature or precipitation changes, the change will alter the soil temperature and moisture regimes and, hence, affect a series of soil processes including transpiration, evaporation, decomposition, nitrification, denitrification, etc., which eventually change the availability of water or nutrients for the plants growing on the soil. The change in plant biomass production will determine the litter incorporation and, hence, alter the SOC dynamics. Table 6 provides all the simulated results of temperature stress, water stress, grass production, and change in SOC storage under the baseline and alternative climate conditions for the target grassland in Inner Mongolia.

**Table 5** Alternative climate scenarios for predicting impacts of climate change on C dynamics in the grassland in Inner Mongolia, China

Change in precipitation	Change in air temperature (°C)				
	-4	-2	0	+2	+4
60%	T1P1		P1		T4P1
80%			P2		
100%	T1	T2	Base	T3	T4
120%			P3		
160%	T1P4		P4		T4P4

*T1* Decrease in temperature by 4°C, *T2* decrease in temperature by 2°C, *T3* increase in temperature by 2°C, *T4* increase in temperature by 4°C, *P1* decrease in precipitation by 40%, *P2* decrease in precipitation by 20%, *P3* increase in precipitation by 20%, *P4* increase in precipitation by 40%, *T1P1* decrease in temperature by 4°C while decrease in precipitation by 40%, *T1P4* decrease in temperature by 4°C while increase in precipitation by 40%, *T4P1* increase in temperature by 4°C while decrease in precipitation by 40%, *T4P4* increase in temperature by 4°C while increase in precipitation by 40%

**Fig. 6** DNDC-modeled biomass productions (a) and SOC dynamics (b) in a fenced grassland in Inner Mongolia, China under current (*Baseline*), cooler and wetter (*T1P4*), and warmer and drier (*T4P1*) climate conditions in the coming 100 years



Scenarios T1, T2, T3, and T4 represent the future climate conditions with change in sole temperature. T1 or T2 is the cooler scenario with the daily air temperatures decreased by 4 or 2°C; while T3 or T4 the warmer scenario

with the daily air temperatures increased by 2 or 4°C. The modeled results indicated that when the climate became cooler, the crop water stress got improved that elevated the grass yield and finally increased the soil C sequestration

**Table 6** 100-year mean annual grass production, SOC change, and temperature and water stress indices from DNDC simulations with baseline and alternative climate scenarios

Climate scenario	Grass production (kg C/ha/year)	Change in SOC (kg C/ha/year)	Temperature stress index <sup>a</sup>	Water stress index <sup>a</sup>
Baseline	1,414	211	0.99	0.74
T1	2,214	377	0.63	0.90
T2	2,300	389	0.82	0.82
T3	768	48	1.00	0.67
T4	491	-22	1.00	0.60
P1	267	-53	0.99	0.64
P2	703	8	0.99	0.69
P3	2,331	503	0.99	0.80
P4	3,150	835	0.99	0.85
T1P1	634	0	0.63	0.77
T1P4	3,598	789	0.63	0.97
T4P1	133	-72	1.00	0.54
T4P4	1,227	199	1.00	0.69

<sup>a</sup> Temperature or water stress index: 1 No stress, 0 severe stress

rates from the baseline 200–380 kg C/ha/year. In contrast, the increases in temperature enhanced the water stress, substantially decreased the grass yield, and turned the soil to a very weak sink and even a source of atmospheric C in the future 100 years (see Table 6).

Scenarios P1, P2, P3, and P4 represent the future climate conditions with change in sole precipitation. P1 or P2 is the drier scenario with the annual precipitation decreased by 40% or 20%; while P3 or P4 the more moist scenario with the precipitation increased by 20% or 40%, respectively. The modeled results indicated that when the climate became drier, the crop water stress became worse that it severely decreased the grass yield as well as the soil C sequestration capacity. In contrast, the increases in precipitation substantially increased the grass yield and increased the soil C sequestration rates as high as 2.4–4.0 times of the baseline rate in the future 100 years (see Table 6).

The scenarios T1P1, T1P4, T4P1, and T4P4 represent for climate conditions by combining the changes in both temperature and precipitation. The warmer and drier climate scenario (i.e., T4P1) made the worst case having the lowest grass production (only 133 kg C/ha/year) with 72 kg C/ha/year lost from the SOC pool; the cooler and wetter climate scenario (T1P4) made the best case having the highest biomass production (3,600 kg C/ha/year) with 790 kg C/ha/year sequestered in the soil during the simulated 100 years.

In summary, based on the 100-year simulations with the baseline and alternative climate conditions, the studied grassland would gain C due to the conservative management practices (i.e., fenced with no grazing). Since the ecosystem production is precipitation-limited, a cooler or wetter future climate would substantially elevate the C sequestration capacity of the grassland. However, if the future climate turns to be drier and/or warmer, the C sequestration potential would significantly decrease and even become negative to turn the ecosystem to a source of atmospheric CO<sub>2</sub>.

## 6 Discussions

Due to the rising of atmospheric concentrations of greenhouse gases, global mean surface temperature is projected to increase between 1.8 and 4.0°C over the next 100 years, and precipitation is highly variable spatially and temporally (IPCC 2007). These climate changes will alter the C budget in the semiarid grassland ecosystems, most of which are vulnerable to the soil water deficits (Houborg and Soegaard 2004). Since a large portion of Chinese grasslands are located in the semiarid climatic zones, we selected a typical grassland in the Xilin River watershed in Inner Mongolia as a representative to study its C dynamics with a modeling approach. The DNDC model adopted in the study has a relatively complete set of biogeochemical processes embedded in the

model framework, which integrate climate, soil, vegetation, and management practices by precisely tracking their interactions within the ecosystems (Li 2007; Li et al. 1992a, b). During nearly the past 20 years, DNDC has been independently tested by a large number of researchers worldwide with encouraging results on both C sequestration and trace gas emissions (see a summary in Giltrap et al. 2010). We further tested the model against our own datasets measured at the Xilin grassland site. Satisfied with the validation tests, especially regarding the responses of the modeled NEE fluxes to the climate variation, we utilized DNDC to estimate the impacts of several assumed future climate scenarios on C dynamics for the target grassland. We realized that the current grassland production as well as SOC dynamics in China are affected by a number of factors including climate change and land-use management. However, to identify the impact of sole climate change, we selected a grassland with the least human's disturbance, which was fenced for many years with no grazing activity. The modeled results indicated that, even for such a grassland with very conservative management practices, it could still become a source of atmospheric CO<sub>2</sub> if the local climate turns to be warmer and drier. This case study would set a baseline of C dynamics for most semiarid grasslands in China. As a large portion of the Chinese grasslands are suffering not only from climate change but also from imperfect management practices such as over-grazing and removal of manure, the SOC losses from the grassland ecosystems could be much faster than the modeled results in the study if the worse scenarios of climate change occur. Following the study reported in this paper, we will continue utilizing the modeling approach to explore alternative management opportunities, such as fertilization, reduced grazing, etc., to find out to what degree we could moderate the negative effects of climate change through improving our grassland management practice.

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